

Form 1 Page 1 Execution

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,

Date filed (MM/DD/YY):

OFFICIAL USE ONLY

| Page | REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT | 2/23/15 | | |
|--|---|--|------|--|
| keep accurate | ilure to keep this form current and to file accurate supplementary information on a timely basis, books and records or otherwise comply with the provisions of law applying to the conduct of the eral securities laws and may result in disciplinary, administrative or criminal action | or the failure to applicant would | | |
| INTE | NTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLA | ATIONS | | |
| | APPLICATION AMENDMENT | | | |
| | name of the applicant: Miami International Securities Exchange, LLC | | | |
| 2. Provide tř Pri | ne applicant's primary street address (Do note use a P.O. Box): 7 Roszel Road, 5 th Flor necton, NJ 08540 | AF. Hol Mark Ohl) Dotto SXX VALUE (C) | | |
| 3. Provide th | e applicant's mailing address (if different): | | | |
| 4. Provide the applicant's business telephone and facsimile number: Telephone: 609-897-7300; Facsimile: 609-987-2210 15020169 | | | | |
| 5. Provide th Mia | e name, title and telephone number of a contact employee: Barbara J. Comly, Genera mi International Securities Exchange, LLC (609-897-7300) | l Counsel, | | |
| Bai Mia 7 F | ne name and address of counsel for the applicant: bara J. Comly, General Counsel mi International Securities Exchange, LLC oszel Road, 5 th Floor nceton, NJ 08540 | | | |
| 7. Provide th | ne date applicant's fiscal year ends: December 31 | | | |
| | egal status of applicant: Corporation Sole Proprietorship Partnership Other (specify): |) | | |
| If other th | an a sole proprietor, indicate the date and place where applicant obtained its legal sta orporated, place where partnership agreement was filed or where applicant entity was fo | atus (e.g. state ormed): | | |
| (a) Date | MM/DD/YY): 9/10/07 (b) State/Country of formation: Delaware | | 2015 | |
| seq. | e under which applicant was organized: Delaware Limited Liability Company Act, 6 D | | -73 | |
| before, the registered of mailing add that he/she applicant re- | N: The applicant consents that service of any civil action brought by, or notice of a Securities and Exchange Commission in connection with the applicant's activities may restricted mail or confirmed telegram to the applicant's contact employee at the may ress if different, given in Items 2 and 3. The undersigned, being first duly sworn, depended executed this form on behalf of, and with the authority of, said applicant. The undersent that the information and statements contained herein, including exhibits, schedattached hereto, and other information filed herewith, all of which are made a part here implete. Miami International Securities Exchange, LLC | ay be given by ain address, or loses and says indersigned and indules, or other a | 1 n | |
| Date: Febr | uary 23, 2015 By: Saubara J. Comly, General Counsel | _ | | |
| Subscribed | and sworn before me this 23 rd day of February, 2015. | | | |
| | 2 Science | |] | |
| Jane Sciarre Notary Pub | ic of the State of New Jersey | | | |
| | sion Expires October 27, 2019 must always be completed in full with original, manual signature and notarization | . Affix notary | 1 | |
| Inis page | stamp or seal where applicable. | | | |

Exhibit M

Exhibit Request:

Provide an alphabetical list of all members, subscribers or other users, including the following information:

- 1. Name;
- 2. Date of election to membership or acceptance as a member, subscriber or other user;
- 3. Principal business address and telephone number;
- 4. If member, subscriber or other user is an individual, the name of the entity with which such individual is associated and the relationship of such individual to the entity (e.g. partner, officer, director, employee, etc.);
- 5. Describe the type of activities primarily engaged in by the member, subscriber, or other user (e.g. floor broker, specialist, odd lot dealer, other market maker, proprietary trader, non-broker dealer, inactive or other functions). A person shall be "primarily engaged" in an activity or function for purposes of this item when that activity or function is the one in which that person is engaged for the majority of their time. When more than one type of person at an entity engages in any of the six types of activities or functions enumerated in this item, identify each type (e.g. proprietary trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of participants, subscribers, or other users in each; and
- 6. The class of membership, participation or subscription or other access.

Response:

Attached is a list of the members of the Exchange as of February 23, 2015, including the information set forth in items 1-6 above.



MEMBER FIRMS

Total Firm 40 Member Firm:

As of February 23, 2015

| ABN AMRO CLEA | RING | CHICAGO LLC | | Approval Date: | 3/7/2013 |
|--|------------|----------------|------------------------|--|---|
| 175 West Jackson | n Blvd., | Ste. 400 | | Membership Type: EE | M: CLEARANCE |
| Chicago | IL | 60604 | Tele #: (312) 604-8000 | | |
| AUTOMATED TR | ADING | DESK FINANC | AL SERVICES, LLC | Approval Date: | 12/7/2012 |
| 11 Ewall Street | | | | Membership Type: EE | M: ORD FLOW/CLEAR |
| Mt. Pleasant | SC | 29464 | Tele #: (843) 789-2080 | | |
| BARCLAYS CAPI | TAL IN | C. | | Approval Date: | 12/7/2012 |
| 745 Seventh Ave | nue | | | Membership Type: AL | L MEMBERSHIPS |
| New York | NY | 10019 | Tele #: (212) 526-7000 | | |
| BMO CAPITAL M | ARKET | TS CORP. | | Approval Date: | 10/10/2014 |
| 3 Times Square, | 27th Flo | or | | Membership Type: EE | M: ORD FLOW/CLEAR |
| New York | NY | 10036 | Tele #: (212) 885-4000 | and the second s | |
| BNP PARIBAS SE | CURITI | ES CORP. | | Approval Date: | 4/21/2014 |
| 787 Seventh Ave | nue | | | Membership Type: EE | M: ORD FLOW/CLEAR |
| New York | NY | 10019 | Tele #: (212) 841-2000 | | |
| CITADEL SECUR | ITIES L | LC | | Approval Date: | 12/7/2012 |
| 131 South Dearb | orn Stre | et | | Membership Type: PL/ | EEM: ORD FLOW |
| Chicago | IL | 60603 | Tele #: (312) 395-2100 | e. Here transport to the month approximate the presentation of the second to be a second to the second to the second | e ett til med med med delse kommeller i med den til stere i græn ett stere i stere i stere ett stere ett stere |
| COMPASS PROFE | SSION. | AL SERVICES, | LLC | Approval Date: | 12/7/2012 |
| 111 W. Jackson l | Blvd., 20 | Oth Fl. | | Membership Type: EE | M: ORD FLOW/CLEAR |
| Chicago | IL | 60604 | Tele #: (312) 692-5000 | д «Помову мен с «Помо на били» пистичниками и мистичниками », и визуми и мето уделу да умету ути, те по придатиј | e mater e estado dos distribucios (Estatos de esta del materia de la calacida de la colonida de la colonida d |
| CONVERGEX EXI | ECUTIO | ON SOLUTIONS | LLC | Approval Date: | 12/1/2014 |
| 1633 Broadway - | · 48th Fl | oor | | Membership Type: EE | M: ORD FLOW/CLEAR |
| New York | NY | 10019 | Tele #: (212) 486-7500 | ra Militar (m. 1801). Na inga mana ang mga ng ngapagang a yan abbangan mga siyasiya sa kasiya na sa sa sa sa s | en de la company de la comp |
| CREDIT SUISSE S | ECURI | TIES (USA) LLC | | Approval Date: | 12/7/2012 |
| 11 Madison Aver | nue, 3rd | Fl. | | Membership Type: EE | M: ORD FLOW/CLEAR |
| New York | NY | 10010 | Tele #: (212) 325-2000 | estadam mente, com servicio estresse de secución de processa de la material de la companya de la companya de l | e o Jetovinis Politika ano eko erroni rozam e oga popuju ga opos - mog. () |
| DASH FINANCIAL LLC | | | | Approval Date: | 12/7/2012 |
| 910 Van Buren S | street, 4t | h Fl. | | Membership Type: EE | M: ORD FLOW/CLEAR |
| Chicago | IL | 60607 | Tele #: (847) 550-1730 | Primoteen de establisse en ser ete sementement en mantejs, jakus ses et als en soos et al layer, sy conso | m distribution described and the second |
| DEUTSCHE BANK | SECU. | RITIES INC. | Approval Date: | 1/25/2013 | |
| 60 Wall Street | | | | Membership Type: EE | M: ORD FLOW/CLEAR |
| New York | NY | 10005 | Tele #: (212) 250-2500 | at Libraria 1984 - 1. Signa ser remais concentrator distributo di balda con securito de la como el ser remais e | one rough States Brown have been a sign of the long of the long of the long of |
| GLOBAL EXECUT | TION B | ROKERS, LP | | Approval Date: | 12/7/2012 |
| 401 City Avenue, Ste. 200 | | | Membership Type: EE | M: ORDER FLOW | |
| Bala Cynwyd | PA | 19004 | Tele #: (610) 617-2600 | ERFELINDENED () die 18 ME weben nederlander der servy zeroals (e.g.). | Fig. to a residence force is a resource or the resource or some or resource. |
| GOLDMAN SACHS EXECUTION & CLEARING, L.P. | | | | Approval Date: | 12/7/2012 |
| 200 West Street | | | | Membership Type: EE | M: CLEARANCE |
| New York | NY | 10282 | Tele #: (212) 902-1000 | onneren et alle en | الما المراورة إلى والرواد والمشاورة فاستكارا المامة |
| GOLDMAN, SACHS & CO. | | | | Approval Date: | 1/15/2013 |
| 200 West Street | | | | Membership Type: PL | LMM |
| New York | NY | 10282 | Tele #: (212) 902-1000 | <u>-</u> | |

| Member Firm: | | | | |
|--------------------------|--|---|--|--|
| GROUP ONE TRADING | G LP | | Approval Date: | 10/20/2014 |
| 440 South La Salle - S | te. 3232 | | Membership Type: | PL/L/RMM/EEM: ORD FLOW |
| Chicago IL | 60605 | Tele #: (312) 347-8864 | no NESO COLORA disalest likk byste kiena antropi sportprotego neso: | COUPER THAT THE BUTTER AND THE BUTTE |
| IMC-CHICAGO, LLC I | /B/A IMC FINA | NCIAL MARKETS | Approval Date: | 8/26/2014 |
| 233 South Wacker Dri | ve, #4300 | | Membership Type: | RMM |
| Chicago IL | 60606 | Tele #: (312) 244-3300 | | |
| INSTINET, LLC | | | Approval Date: | 3/27/2013 |
| 1095 Avenue of the A | mericas | | Membership Type: | EEM: ORD FLOW/CLEAR |
| New York NY | 10036 | Tele #: (212) 310-9500 | | |
| INTERACTIVE BROKE | ERS LLC | | Approval Date: | 12/7/2012 |
| One Pickwick Plaza, 2 | nd Fl. | | | EEM: ORD FLOW/CLEAR |
| Greenwich CT | 06830 | Tele #: (203) 618-5710 | • • • | |
| ITG DERIVATIVES LL | С | | Approval Date: | 12/7/2012 |
| 601 S. LaSaile, Ste. 30 | 0 | | Membership Type: | EEM: ORDER FLOW |
| Chicago IL | 60606 | Tele #: (312) 935-0125 | 1 71 | |
| J.P. MORGAN CLEARI | NG CORP. | т в при то в 1. Сен по сторот од 11 г. с. се от от 21 г. старовар ве голово сторо в 25 г. ста ве выпоравления ве 10 г. сесто се од от | Approval Date: | 12/7/2012 |
| 3 Chase Metrotech Ce | | | = = | EEM: CLEARANCE |
| Brooklyn NY | | Tele #: (347) 643-1000 | | |
| J.P. MORGAN SECURI | | | Approval Date: | 12/7/2012 |
| 383 Madison Avenue | | | | EEM: ORDER FLOW |
| New York NY | 10179 | Tele #: (201) 595-8471 | Wiembersmp Type. | |
| JEFFERIES LLC | aran na manana na manana m | t vertice is a final control of the | Approval Date: | 9/15/2014 |
| 520 Madison Avenue | | | •• | EEM: ORD FLOW/CLEAR |
| New York NY | 10022 | Tele #: (212) 284-2300 | wiemoersmp Type. | DENIE OID THO WOLDING |
| KCG AMERICAS LLC | | AND III (MAN) MOI MAN | Approval Date: | 12/7/2012 |
| 545 Washington Boule | ward | | •• | ALL MEMBERSHIPS |
| Jersey City NJ | 07310 | Tele #: (201) 386-2891 | wiemoersmp Type. | NOD WIDNIDEROIM O |
| LEK SECURITIES COR | | 1010 11 (201) 300 2071 | Approval Date: | 6/5/2014 |
| 1 Liberty Plaza, 165 B | | | | EEM: ORD FLOW/CLEAR |
| New York NY | | Tele #: (212) 509-2300 | wiemoersmp Type. | EDM: OID I EOW/CEEAR |
| LIME BROKERAGE LI | | 1000 11. (212) 307-2300 | Approval Date: | 12/7/2012 |
| 625 Broadway, 12th Fl | | | • • | EEM: ORDER FLOW |
| New York NY | | Tele #: (212) 824-5000 | Membership Type: | EEW. ORDER FLOW |
| MERRILL LYNCH PRO | | | A | 10/7/0010 |
| | | LEARING CORF. | Approval Date: | |
| One Bryant Park, 6th F | | Tale #1 (646) 742 1205 | Membership Type: | EEM: ORD FLOW/CLEAR |
| New York NY | | Tele #: (646) 743-1295 | A 1 VS | 10/7/0010 |
| | rce, feinner | & SMITH INCORPORAT | Approval Date: | |
| One Bryant Park | 10026 | T.1. # (010) 440 1000 | Membership Type: | EEM: ORD FLOW/CLEAR |
| New York NY | | Tele #: (212) 449-1000 | | 10/0/10 |
| MORGAN STANLEY & | CO. LLC | | Approval Date: | |
| 1585 Broadway | 10006 | T 1 // (D 2) T (1 1000 | Membership Type: | ALL MEMBERSHIPS |
| New York NY | 10036 | Tele #: (212) 761-4000 | a Primite san ee samakka e sa eene sa takka ne namana kanaa ka kanaa ka ka ka ka ka sa sa sa sa sa sa sa sa sa | maja (1.17) yanggapi majalipila di diadamin bana ngongan, kipa distance, pro-volonga pagana. |
| OPTIVER US LLC | a | | Approval Date: | |
| 130 E. Randolph Street | | | Membership Type: | RMM |
| Chicago IL | 60601 | Tele #: (312) 821-9500 | erinda aza destana in letter i sent albertukan kalenda ett til ada antalapan jahaja da jahaja an a | t i describitations de desembles després des antiques autres autres de l'inspérie després garges. |
| PERSHING LLC | | | Approval Date: | 3/12/2013 |
| 1 Pershing Plaza, 10th | Fl. | | Membership Type: | EEM: ORD FLOW/CLEAR |
| Jersey City NJ | 07399 | Tele #: (201) 413-2000 | en en skrivet (s. 1938- en skrivet i 1871 <mark>maant die di</mark> e en de Toekkernske zele en bestek van de skrivet van de s | TO PROPER THE SECOND SERVICE SERVICES AND THE PROPERTY OF THE SECOND SERVICES AND THE SECOND SERVICES AND THE SECOND SECO |
| SIMPLEX TRADING, L | L C | | Approval Date: | 9/20/2013 |
| 230 So. LaSalle St., Ste | e. 4 -1 00 | | Membership Type: | EEM: ORDER FLOW |
| Chicago IL | 60604 | Tele #: (312) 360-2440 | | |
| | | | | Exhibit N |

Member Firm:

| SOUTHWEST SE | CURITI | ES, INC. | | Approval Date: 2/8/2013 |
|-----------------------------------|------------|-----------|--------------------------------------|--|
| 1201 Elm Stree | t, Ste. 35 | 00 | | Membership Type: EEM: CLEARANCE |
| Dallas | TX | 75270 | Tele #: (214) 859-1800 | |
| SUSQUEHANNA | SECUR | ITIES | Approval Date: 12/7/2012 | |
| 401 City Avenu | e, Ste. 22 | 20 | Membership Type: PLMM | |
| Bala Cynwyd | PA | 19004 | Tele #: (610) 617-2600 | The state of the s |
| TIMBER HILL L | LC | | | Approval Date: 12/7/2012 |
| One Pickwick P | laza, Ste | e. 200 | | Membership Type: PL/L/RMM/EEM: CLEAR |
| Greenwich | CT | 06830 | Tele #: (203) 618-5800 | |
| UBS SECURITIES | S LLC | | | Approval Date: 12/7/2012 |
| 677 Washington | n Boulev | ard | | Membership Type: EEM: ORD FLOW/CLEAR |
| Stamford | CT | 06901 | Tele #: (203) 719-3000 | THE SECOND CONTROL OF |
| VOLANT LIQUIDITY, LLC | | | | Approval Date: 5/31/2013 |
| 7 World Trade | Center, S | te. 3301 | | Membership Type: EEM: ORDER FLOW |
| New York | NY | 10007 | Tele #: (646) 484-3000 | |
| WEDBUSH SECURITIES INC. | | | Approval Date: 12/7/2012 | |
| 1000 Wilshire E | Boulevar | d | | Membership Type: EEM: CLEARANCE |
| Los Angeles | CA | 90017 | Tele #: (213) 688-8090 | |
| WELLS FARGO S | SECURI | TIES, LLC | | Approval Date: 4/11/2014 |
| 550 South Tryo | n Street, | 6th Floor | | Membership Type: EEM: ORD FLOW/CLEAR |
| Charlotte | NC | 28202 | Tele #: (704) 715-6133 | |
| WOLVERINE EXECUTION SERVICES, LLC | | | Approval Date: 12/7/2012 | |
| 175 W. Jackson Blvd., Ste. 200 | | | Membership Type: EEM: ORD FLOW/CLEAR | |
| Chicago | IL | 60604 | Tele #: (312) 884-4000 | |
| WOLVERINE TR | ADING, | LLC | | Approval Date: 12/7/2012 |
| 175 W. Jackson | Blvd., S | te. 200 | | Membership Type: PL/LMM |
| Chicago | IL | 60604 | Tele #: (312) 884-3490 | |
| | | | | Activity Key - Market Maker: PL = Primary Lead; R = Regular |





To: Grobbel, Christoph

Department: HQ/TM

Phone: 202.551.5491

HQ-7a Mail Stop: 7010

Building: SP1

Package Type: Sender Name:



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MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC 7 ROSZEL ROAD, SUITE 5-A PRINCETON, NJ 08540

To:

Chris Grobbel Division of Trading and Markets Office of Market Supervision Securities and Exchange Commission 100 F. Street, N.E. Washington, D.C. 20549